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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**BUR919980202US1**

In Re Application Of: **Thomas G. Ference, et al.**

COPY OF PAPERS  
ORIGINALLY FILED

JUN 07 2002

Serial No.  
**09/261,328**

Filing Date  
**03/03/99**

Examiner  
**D. Graybill**

Group Art Unit  
**2814**

Title: **ULTRA-FINE CONTACT ALIGNMENT**

#20  
ZPS  
Chiller  
6-28-02

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JUN 18 2002  
TECHNOLOGY CENTER 2800

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2/IDS  
D. EVANS  
4.21.99

In re Application of: : Attorney Docket BU9-98-202  
Thomas G. Ference et al. : Art Unit: To be assigned  
Serial No. To be assigned : Examiner: To be assigned  
Filed: Herewith : IBM Corporation  
For: ULTRA-FINE CONTACT : Intellectual Property Law  
ALIGNMENT : 1000 River Street  
Essex Junction, Vermont, 05452

jc:525 U.S. PTO  
09/261328  
03/03/99

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed on accompanying form PTO-1449 are a number of documents which may be considered relevant to the examination of this application. A copy of each of these documents is provided. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The identification of this document is for the purpose of meeting applicant's duty of disclosure under 37 C.F.R. 1.56 and is not intended to be an admission that this document constitutes prior art as to the invention claimed in the present application.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 as "Express Mail Post Office to Addressee"

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on March 3, 1999

ARNETTE DODGE  
Name of person mailing paper

Arnette Dodge 3/3/99  
Signature Date

BU-9-98-202

Respectfully submitted,

FOR:

BY:

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